

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Qixu CHEN et al.

Serial No.: Not Yet Assigned

Filing Date: March 11, 2004

For: INTER LAYERS FOR  
PERPENDICULAR RECORDING  
MEDIA

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

☒ With the application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **146712005100**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 11, 2004

Respectfully submitted,

By: 

Raj S. Davé  
Registration No.: 42,465  
MORRISON & FOERSTER LLP  
1650 Tysons Blvd, Suite 300  
McLean, Virginia 22102  
(703) 760-7755  
Attorneys for Applicant

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number: <b>146712005100</b>	Application Number: <b>Not Yet Assigned</b>
	Applicant  <b>Qixu CHEN et al.</b>	
	Filing Date: <b>March 11, 2004</b>	Group Art Unit: <b>Not Yet Assigned</b>
	Mailing Date: <b>March 11, 2004</b>	

### U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	09/11/2003	2003/0170500A1	SHIMIZU et al.			
	2.	09/25/2003	2003/0180577 A1	DO et al.			
	3.	10/21/2003	6,635,367 B2	IGARASHI et al.			
	4.	05/07/2002	6,383,667 B1	FUTAMOTO et al.			
	5.	08/14/2003	2003/0152809 A1	OIKAWA et al.			
	6.	05/29/2003	2003/0099869 A1	OIKAWA et al.			
	7.	06/05/2003	2003/0104250 A1	SHIMIZU et al.			
	8.	05/15/2003	2003/0091868 A1	SHIMIZU et al.			
	9.	01/23/2003	2003/0017370	SHIMIZU et al.			
	10.	05/16/2002	2002/0058160	OIKAWA et al.			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	2.						

### OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	3.	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.